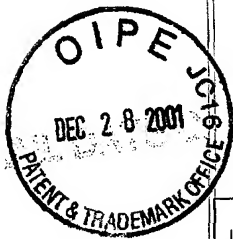


12-28-01

A



PATENT
Customer Number 22,852
Attorney Docket No. 7451.0006-05

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office to Addressee" service under 37 CFR § 1.10, in an envelope addressed to: BOX CONTINUATION PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231 on December 21, 2001. Express Mail Label No.: EL746265238US

SIGNED:

Karna Nisewaner
KARNA NISEWANER

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS AND TRADEMARKS
Washington, D.C. 20231

Prior Application Art Unit: 2132 Prior Application Examiner: G. Barron, Jr.

SIR: This is a request for filing a

☐ Continuation ☒ Continuation-in-Part ☐ Divisional Application under 37 C.F.R. § 1.53(b) of pending prior application Serial No. 09/272,998 filed March 19, 1999 of Karl L. Ginter, Victor H. Shear, W. Olin Sibert, Francis J. Spahn, and David M. Van Wie for SYSTEMS AND METHODS FOR SECURE TRANSACTION MANAGEMENT AND ELECTRONIC RIGHTS PROTECTION and pending prior application Serial No. 09/925,072 filed August 6, 2001 of Victor H. Shear, W. Olin Sibert, and David M. Van Wie for SYSTEMS AND METHODS FOR USING CRYPTOGRAPHY TO PROTECT SECURE COMPUTING ENVIRONMENTS.

1. ☒ Enclosed is a complete copy of the prior applications including the oath or Declaration and drawings, if any, as originally filed. I hereby verify that the attached papers are a true copy of prior applications Serial No. 09/272,998 as originally filed on March 19, 1999 and Serial No. 09/925,072 as originally filed on August 6, 2001, which are incorporated herein by reference.
2. ☐ Enclosed is a substitute specification under 37 C.F.R. § 1.125.
3. ☐ Enclosed is a Request for Non-Publication of Application and Certification Under 35 U.S.C. § 1.22(b)(2)(B)(i).
4. ☒ Cancel All Claims.
5. ☒ A Preliminary Amendment is enclosed.
6. ☐ The filing fee is calculated on the basis of the claims existing in the prior application as amended at 4 and 5 above.

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JC965 U.S. PTO
12/21/01

10027986 12/21/01

JC398 U.S. PTO
10/027986

12/21/01

Basic Application Filing Fee					\$740	\$ \$740.00
	Number of Claims		Basic	Extra Claims		
Total Claims	5	-	20		x \$18	
Independent Claims	1	-	3		x \$84	
<input type="checkbox"/> Presentation of Multiple Dep. Claim(s)					+\$280	
Subtotal						\$ 740
Reduction by 1/2 if small entity						-
TOTAL APPLICATION FILING FEE						\$ 740

7. ☒ Please charge \$740.00 to Deposit Account No. 06-0916 for the filing fee.
8. ☒ The Commissioner is hereby authorized to charge any fees which may be required including fees due under 37 C.F.R. § 1.16 and any other fees due under 37 C.F.R. § 1.17, or credit any overpayment during the pendency of this application to Deposit Account No. 06-0916.
9. ☒ Amend the specification by inserting before the first line, the following new paragraph:
- This application is a continuation-in-part of co-pending U.S. Application No. 09/272,998, filed March 19, 1999, which is a continuation of U.S. Application No. 08/706,206, filed August 30, 1996, now U.S. Patent No. 5,892,900, which is a continuation-in-part of U.S. Application No. 08/695,927, filed August 12, 1996, now abandoned, which a continuation-in-part of U.S. Application No. 08/388,107, filed February 13, 1995, now abandoned, all of which are incorporated herein by reference. This application is also a continuation of co-pending U.S. Application No. 09/925,072, filed August 6, 2001, which is a continuation of U.S. Application No. 09/678,830, filed October 4, 2000, now U.S. Patent No. 6,292,569, which is a continuation of U.S. Application No. 08/689,754, filed August 12, 1996, now U.S. Patent No. 6,157,721, all of which are incorporated herein by reference.
10. ☐ New formal drawings are enclosed.
11. ☒ The prior application is assigned of record to: InterTrust Technologies Corp.

10027985-122101

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12. ☐ Priority of application Serial No. [Text], filed on [Text] in [Country] is claimed under 35 U.S.C. § 119. A certified copy
- ☐ is enclosed or ☐ is on file in the prior application.
13. ☐ Small entity status is appropriate and applies to this application.
14. ☒ The power of attorney in the prior application is to at least one of the following: FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P., Douglas B. Henderson, Reg. No. 20,291; Ford F. Farabow, Jr., Reg. No. 20,630; Arthur S. Garrett, Reg. No. 20,338; Donald R. Dunner, Reg. No. 19,073; Brian G. Brunsvold, Reg. No. 22,593; Tipton D. Jennings, IV, Reg. No. 20,645; Jerry D. Voight, Reg. No. 23,020; Laurence R. Hefter, Reg. No. 20,827; Kenneth E. Payne, Reg. No. 23,098; Herbert H. Mintz, Reg. No. 26,691; C. Larry O'Rourke, Reg. No. 26,014; Albert J. Santorelli, Reg. No. 22,610; Michael C. Elmer, Reg. No. 25,857; Richard H. Smith, Reg. No. 20,609; Stephen L. Peterson, Reg. No. 26,325; John M. Romary, Reg. No. 26,331; Bruce C. Zotter, Reg. No. 27,680; Dennis P. O'Reilly, Reg. No. 27,932; Allen M. Sokal, Reg. No. 26,695; Robert D. Bajefsky, Reg. No. 25,387; Richard L. Stroup, Reg. No. 28,478; David W. Hill, Reg. No. 28,220; Thomas L. Irving, Reg. No. 28,619; Charles E. Lipsey, Reg. No. 28,165; Thomas W. Winland, Reg. No. 27,605; Basil J. Lewris, Reg. No. 28,818; Martin I. Fuchs, Reg. No. 28,508; E. Robert Yoches, Reg. No. 30,120; Barry W. Graham, Reg. No. 29,924; Susan Haberman Griffen, Reg. No. 30,907; Richard B. Racine, Reg. No. 30,415; Thomas H. Jenkins, Reg. No. 30,857; Robert E. Converse, Jr., Reg. No. 27,432; Clair X. Mullen, Jr., Reg. No. 20,348; Christopher P. Foley, Reg. No. 31,354; John C. Paul, Reg. No. 30,413; David M. Kelly, Reg. No. 30,953; Kenneth J. Meyers, Reg. No. 25,146; Carol P. Einaudi, Reg. No. 32,220; Walter Y. Boyd, Jr., Reg. No. 31,738; Steven M. Anzalone, Reg. No. 32,095; Jean B. Fordis, Reg. No. 32,984; Roger D. Taylor, Reg. 28,992; Barbara C. McCurdy, Reg. No. 32,120; James K. Hammond, Reg. No. 31,964; Richard V. Burgujian, Reg. No. 31,744; J. Michael Jakes, Reg. No. 32,824; Thomas W. Banks, Reg. No. 32,719; Christopher P. Isaac, Reg. No. 32,616; Bryan C. Diner, Reg. No. 32,409; M. Paul Barker, Reg. No. 32,013; Andrew Chanhon Sonu, Reg. No. 33,457; David S. Forman, Reg. No. 33,694; Vincent P. Kovalick, Reg. No. 32,867; James W. Edmondson, Reg. No. 33,871; Michael R. McGurk, Reg. No. 32,045; Joann M. Neth, Reg. No. 36,363; Gerson S. Panitch, Reg. No. 33,751; Cheri M. Taylor, Reg. No. 33,216; Charles E. Van Horn, Reg. No. 40,266; Linda A. Wadler, Reg. No. 33,218; Jeffrey A. Berkowitz, Reg. No. 36,743; Michael R. Kelly, Reg. No. 33, 921; James B. Monroe,

FOI 221 986/2001

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Reg. No. 33,971; Doris Johnson Hines, Reg. No. 34,629; Allen R. Jensen, Reg. No. 28,224; Lori Ann Johnson, Reg. No. 34,498; David A. Manspeizer, Reg. No. 37,540; John Rissman, Reg. No. 33,764; M. Lawrence Oliverio, Reg. No. 30,915; and Therese Hendricks, Reg. No. 30,389.

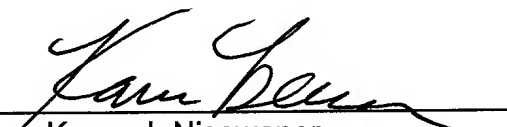
15. ☐ The power appears in the original declaration of the prior application.
16. ☐ Since the power does not appear in the original declaration, a copy of the power in the prior application is enclosed.
17. ☒ Please address all correspondence to FINNEGAN, HENDERSON, FARABOW, GARRETT and DUNNER, L.L.P., 1300 I Street, N.W., Washington, D.C. 20005-3315, **Customer Number 22,852.**
18. ☒ Recognize as associate attorney Linda J. Thayer, Reg. No. 45,681; and Karna J. Nisewaner, Reg. No. P50,665
19. ☐ Also enclosed is _____

PETITION FOR EXTENSION. If any extension of time is necessary for the filing of this application, including any extension in the parent applications, Serial No. 09/272,998, filed March 19, 1999 and Serial No. 09/925,072, filed August 6, 2001, for the purpose of maintaining copendency between the parent application and this application, and such extension has not otherwise been requested, such an extension is hereby requested, and the Commissioner is authorized to charge necessary fees for such an extension to our Deposit Account No. 06-0916. A duplicate copy of this paper is enclosed for use in charging the deposit account.

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: December 21, 2001

By:


Karna J. Nisewaner
Reg. No. P50,665



PATENT
Customer Number 22,852
Atty. Dkt. No.: 7451.0006-05

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service's Express Mail Post Office to Addressee" service under 37 CFR § 1.10, in an envelope addressed to: BOX CIP PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231 on December 21, 2001. Express Mail Label No.: EL746265238US

Signed:

Karna J. Nisewander
Karna J. Nisewander

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
Washington, D.C. 20231

In re Prior Application of:)
)
Karl L. Ginter et al.) Prior Group Art Unit: 2132
)
Prior Serial No.: 09/272,998) Prior Examiner: G. Barron, Jr.
)
Filed: March 19, 1999)
)
For: SYSTEMS AND METHODS FOR)
SECURE TRANSACTION)
MANAGEMENT AND)
ELECTRONIC RIGHTS)
PROTECTION)

Sir:

COVER LETTER AND LIST OF DOCUMENTS
ACCOMPANYING CONTINUATION-IN-PART APPLICATION

We enclose a U.S. Continuation-In-Part Patent Application in connection with the above-captioned subject matter. This CIP application includes a Request for Filing a Continuation-In-Part application under 37 C.F.R. 1.53(b) and a request to charge the filing fee of \$740.00 to Deposit Account No. 06-0916.

The following documents are submitted as part of the CIP application:

- (1) Request for filing CIP (4 pages);
- (2) Complete copy of prior specification from Application Serial No.

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09/272,998, as originally filed on March 19, 1999, page numbers 1-1002 (1003 pages, with title page);

(3) Complete copy of prior specification from Application Serial No. 09/925,072, as originally filed on August 6, 2001, page numbers 1003-1083 (82 pages, with title page);

(4) Complete copy of prior drawings from Application Serial No. 09/272,998, as originally filed on March 19, 1999, Figures 1-87, (163 pages);

(5) Complete copy of prior drawings from Application Serial No. 09/925,072, as originally filed on August 6, 2001, Figures 1-14, (15 pages);

(6) Complete copy of Declaration from Application Serial No. 09/272,998, as originally filed on March 19, 1999;

(7) Complete copy of Declaration from Application Serial No. 09/925,072, as originally filed on August 6, 2001; and

(8) Preliminary Amendment (3 pages).

Please grant any extensions of time required to enter these papers and charge any additional required fees to our Deposit Account No. 06-0916.

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Date: December 21, 2001

By: 

Karna J. Nisewaner
Reg. No. P50,665

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